INTERNATIONAL WORKSHOP ON RESILIENCE RESEARCH

Cooperative Center for Resilience Research (CCRR) National University of Mongolia, Nagoya University (Japan)

PROGRAM

10:50-17:00 Monday,16 May

Fresh Water Resources and Nature Conservation Center, Ulaanbaatar

10:50-11:00

BATTULGA Sukhee (CCRR, NUM), Yasuhiro SUZUKI (CCRR, Nagoya Univ.) Opening Remarks

11:00-11:25

1. CHULUUN Togtokh (Director of Institute for Sustainable Development, NUM):

"Resilience in Mongolia: History and Future" (Монголын сэргэн хөгжихүй: Өнгөрсөн ба ирээдүй)

11:25-11:50

2. MYAGMARTSEREN Purevtseren (Head of Department of Geography, NUM):

"Urban spatial and demography dynamics of Ulaanbaatar city" (УБ хотын хүн амын шилжилт хөдөлгөөн ба орон зайн тэлэлт)

11:50-12:15

3. Yasuhiro SUZUKI (Nagoya Univ.)

"Problems associated with the 2016 Kumamoto earthquake" (2016 оны Күмамотогийн газар хөдлөлтийн хохирол ба эрсдэлээс хамгаалах асуудал)

12:15-13:15 Commemorative Photographs & Lunch time

13:15-13:40

4. SERGMYADAG Dalai (Disaster Research Institute, National Emergency Management Agency):

"Current status of Earthquake Disaster Risk Reduction in Mongolia" (Газар хөдлөлтийн гамшгийн эрсдэлийг бууруулах үйл ажиллагааны өнөөгийн байдал)

13:40-14:05

5. MINJIN Tserenbaltav (Law Enforcement University):

"Features of the Nomads and Mongolian tradition of environmental protection" (Нүүдэлчдийн онцлог хийгээд Монголчуудын байгаль хамгаалж ирсэн уламжлал)

14:05-14:30

6. IRMUUNZAYA Khurtsbaatar (Fresh Water Resources and Nature Conservation Center)

"Assessing water quality of Sugnugur, Gatsuurt and Kharaa rivers using macro-invertebrate communities" (Сөгнөгөр, Гацуурт, Хараа голуудын усны чанарыг макро-сээрнуруугүйтний бүлгэмдлээр тодорхойлох нь)

14:30-14:50 Coffee Break

14:50-15:15

7. ARIUNAA Chadraabal (National Emergency Management Agency):

"Adaptation or Resilience for Dzud Mitigation in Mongolia" (Монгол орны Зудыг даван туулах асуудал)

15:15-15:40

8. TULGA Mendjargal (Ministry of Environment, Green development and Tourism):

"Development of on- and off-grid renewable energy in Mongolia" (Дахин сэргээгдэх эрчим хүчийг ашиглах боломжийн тухай)

15:40-16:05

9. ENKHMANDAKH P (Ministry of Construction and Urban Development):

"Problems associated with urban planning of Ulaanbaatar" (Улаанбаатарын хот төлөвлөлтийн асуудал)

16:05-16:35

Discussion

(Yasuhiro SUZUKI, Tetsuya INAMURA, Shinsuke TOMITA, Shoko ISHI)

16:35-16:45

BATTULGA Sukhee, Yasuhiro SUZUKI (CCRR)

Closing Remarks

Resilience in Mongolia: History and Future

CB

T. Chuluun, Director Institute for Sustainable Development National University of Mongolia

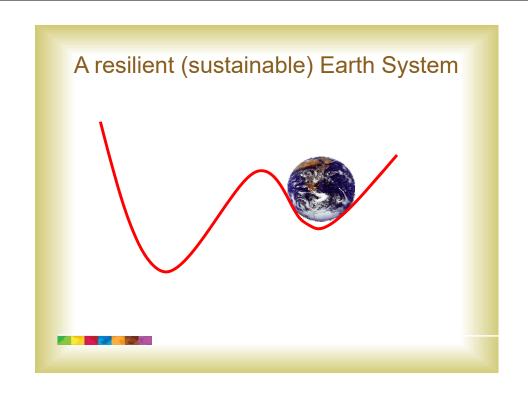
Content

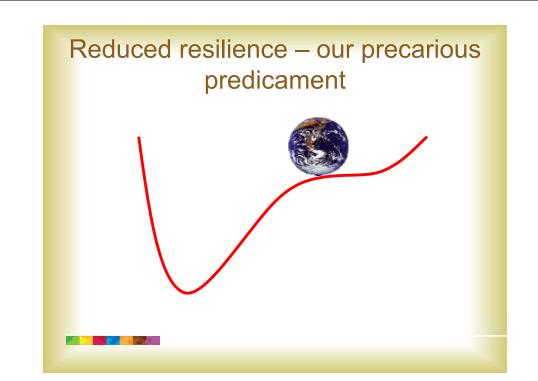
-03

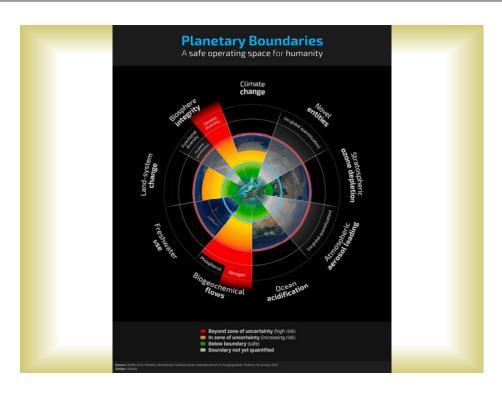
- - **S** The Earth System
- □ Principles for building resilience
- ⇔ Green Development Policy as resilience policy
 - Urban development
 - Pastoral system
- **™** Summary notes
 - ☑ Did Mongolia have resilience in the past?
 - What future implications can we expect from application of resilience concept for Mongolia?

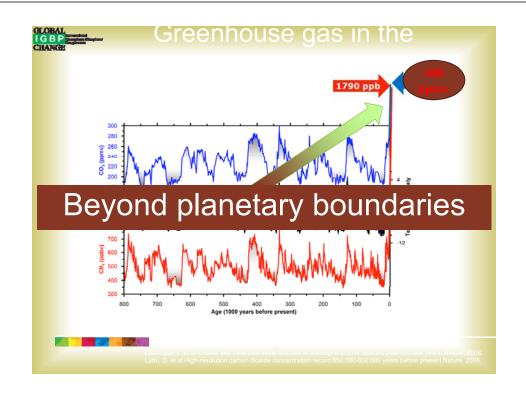
Resilience — Three features Panarchy UNDERSTANDING TRANSFORMATIONS IN HUMAN AND NATURAL SYSTEMS 2. ADAPT ABILITY the capacity of people in a social-ecological system to manage resilience e.g. through collective action 3. TRANSFORMABILITY the capacity of people in a socialecological system to create a new system when ecological, political, social or economic conditions make the existing system untenable

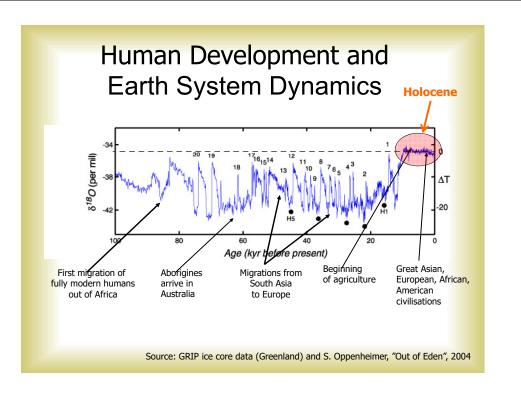


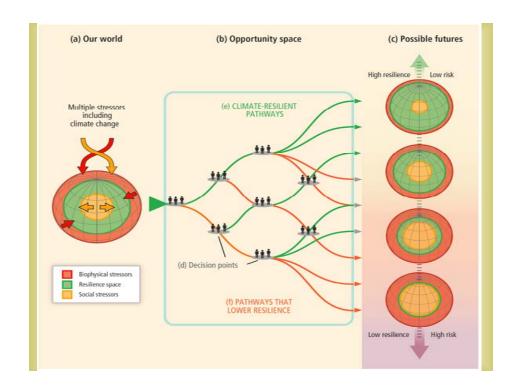












P1. Maintain diversity & redundancy

- "Systems with many different components are generally more resilient than systems with few components.
- Redundancy provides 'insurance' within a system by allowing some components to compensate for the loss or failure of others.
- Redundancy is even more valuable if the components providing the redundancy also react differently to change and disturbance."

- Open country since democracy
 - S Freedom for diversity
 - Management Emergence of the Mongolian culture and freedom
- Diversity of economy!
 - ☼ Diversity of export!
- "Bagsh shav" relation is valuable for redundancy

change and disturbance."
Principles for Building Resilience: Sustaining Ecosystem
Services in Social-Ecological Systems, 2014

P2. Manage connectivity



- "Connectivity can both enhance and reduce the resilience of socialecological systems and the ecosystem services they produce.
- Well-connected systems can overcome and recover from disturbances more quickly, but overly connected systems may lead to the rapid spread of disturbances across the entire system so that all components of the system are impacted."
- Unification for development of Mongolia
- Uncouple private business and government, fighting against oligarchy
- № Hypothesis: Traditional networks become stronger after disasters like zud, but tend to become loose during normal years?

Principles for Building Resilience: Sustaining Ecosystem Services in Social-Ecological Systems, 2014

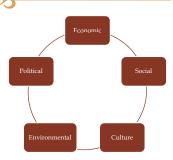
P3. Manage slow variables & feedbacks

- 03
- "In a rapidly changing world, managing slow variables and feedbacks is often crucial to keep socialecological systems configured and functioning in ways that produce essential ecosystem services.
- If these systems shift into a different configuration or regime, it can be extremely difficult to reverse."

Principles for Building Resilience: Sustaining Ecosystem Services in Social-Ecological Systems, 2014

P4. Foster complex adaptive systems thinking

- cal acknowledging that socialecological systems are based on a complex and unpredictable web of connections and interdependencies is the first step towards management actions that can foster resilience."

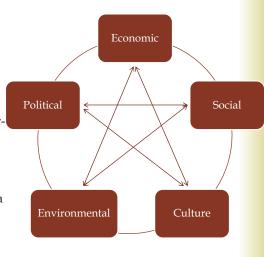


Mongolia is viewed as an **open complex adaptive system**

Principles for Building Resilience: Sustaining Ecosystem Services in Social-Ecological Systems, 2014

Mongolia as an open complex system

Mongolia is viewed as an complex adaptive system, consisted of interconnected political, economic, social, ecological and cultural subsystems as five main organs of the organism, interconnected through fatherson and friend-enemy connections (as in folk medicine). For Mongolia's health it is essential to have healthy subsystems. Mongolia made transition to democracy and market economy 2 decades ago, becoming an open system – necessary condition for change!



P5. Encourage learning



- "Learning and experimentation through adaptive and collaborative management is an important mechanism for building resilience in social-ecological systems.
- It ensures that different types and sources of knowledge are valued and considered when developing solutions, and leads to greater willingness to experiment and take risks."
- **Color Service Color Servic**
- ☼ Economic and ecological interdependence zenith?

Principles for Building Resilience: Sustaining Ecosystem Services in Social-Ecological Systems, 2014

P6. Broaden participation



- "Broad and wellfunctioning
 participation can build
 trust, create a shared
 understanding and
 uncover perspectives
 that may not be
 acquired through more
 traditional scientific
 processes."
- Participation for local development, including nutgiin zovlols?
- Co-design of research, co-implementation by multiple stakeholders

Principles for Building Resilience: Sustaining Ecosystem Services in Social-Ecological Systems, 2014

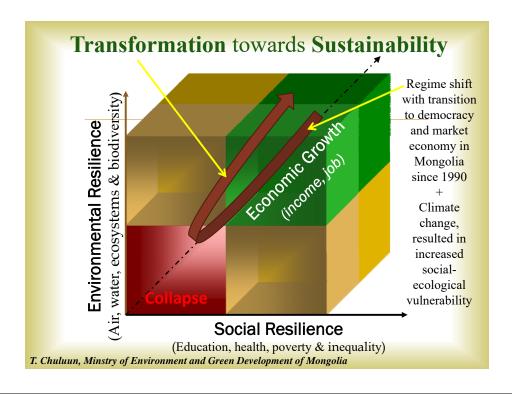
P7. Promote polycentric governance systems

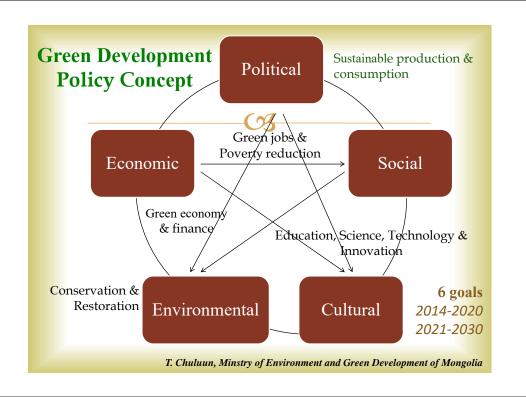


- "Collaboration across institutions and scales improves connectivity and learning across scales and cultures.
- Well-connected governance structures can swiftly deal with change and disturbance because they are addressed by the right people at the right time."
- Application of resilience concept for governance and management of social-ecological systems
- Promote traditional pastoral networks such as neg golynhon
- Training of local leaders on sustainability for public administration

Principles for Building Resilience: Sustaining Ecosystem Services in Social-Ecological Systems, 2014

Green Civilization in the Anthropocene Earth's life-Green civilization: support system "Development that meets the needs of the present while safeguarding diversity of cultures and Civilizations are Earth's life-support defined by the system, on which the economic, political, welfare of current and social, and cultural future generations interactions among depends." them. Sustainable development goals for people and planet, Nature 495, 305-307 (2013)



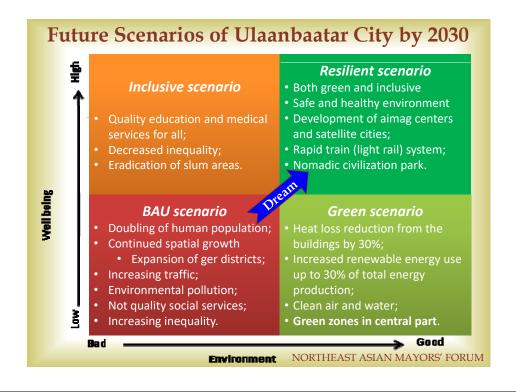


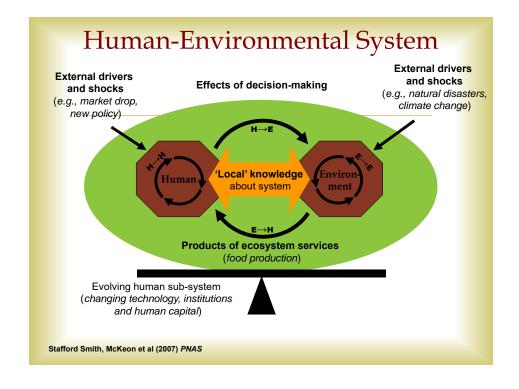
Goal 6. Development compatible with climate change, natural resources & resilience of regions

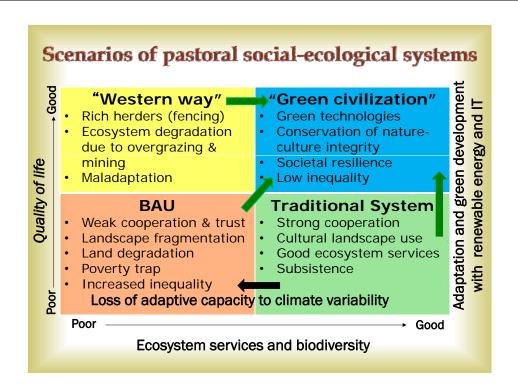
Strategic objective #6: Develop and implement a population settlement plan in accordance with climate change, while considering the availability of natural resources and the resilience of regions.

- Building green smart cities & villages for quality of life
- Green urban planning









Green development model of pastoral system

http://www.ccau.jp/

Mongolia: Resilience History



- Did we have resilience in Mongolia in the past?
 - 🗷 Persistence Yes
 - 😘 Adaptability Yes
 - Transformability Yes and No
- What was the sources of Mongolian people's resilience?
 - **Culture** compatible with extreme continental climate?
 - Intellectual capacity due to food?
- - ☑ Brain drain (тархины дүрвэлт)!

Opportunities for Mongolia



- - Creating future funds to cope with uncertainties
 - ☑ Diversifying economy
- - **Building inclusive political institutions** to foster inclusive economic institutions (*James Robinson*)
- Social resilience:
 - Reducing inequality & providing equal opportunities
- ™ Natural and Cultural Resilience Enforcing
- *Governance for Resilience and Sustainability across scales*

Summary

- ™ Mongolia has rich resilient history
- foundation for future prosperity of Mongolia
- **™** Global lessons, technologies and innovations are amplifying factors for transformation of Mongolia towards sustainability
 - Strategic partnership between Japan and Mongolia, including low carbon development partnership and free trade may serve as critical drivers

Problems of the 2016 Kumamoto Earthquakes in Japan

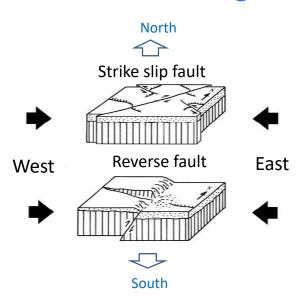
continuously occurring since 14 April

Yasuhiro Suzuki Nagoya University



Active Fault - the origin of the earthquake

Active Faulting





Ruptures running toward the village



Surface ruptures on paddy field











Situation of damage

as of 13 May 2016

Death: 68

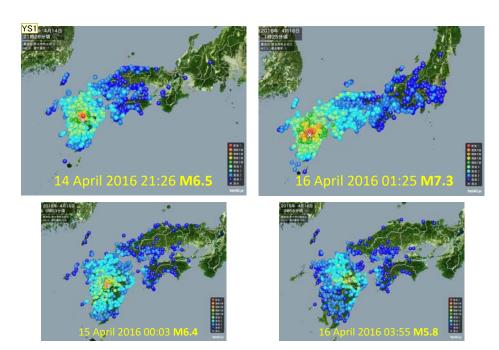
Missing: 1

■ Damaged houses: 37,921

Evacuee: 10,477

Serious problems with repeating earthquakes

M6.5 (14 Apr.), M7.3 (16 Apr.) Surprisingly, the latter one was larger!



1,500 earthquakes during 1 month

X71 F 8

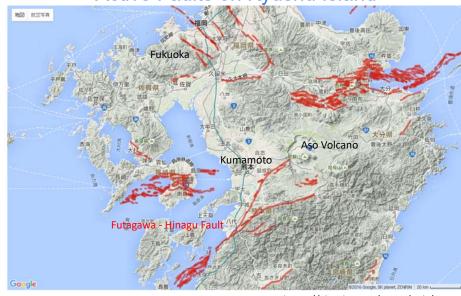
Yasuhiro SUZUKI, 2016/05/13

Active Faults in Japan



https://gbank.gsj.jp/activefault/

Active Faults on Kyushu Island

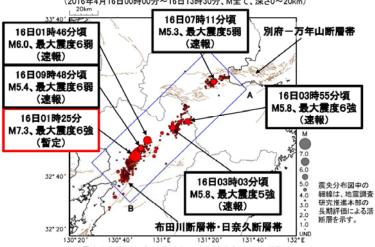


https://gbank.gsj.jp/activefault/

Earthquakes in Succession

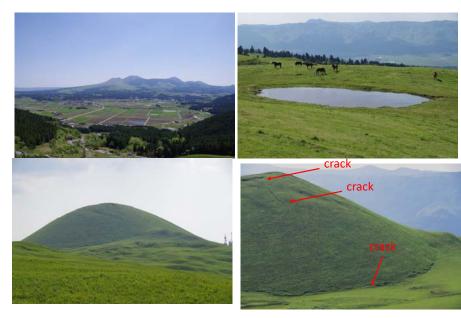
「平成28年(2016年)熊本地震」 熊本県から大分県にかけての地震活動の状況 (4月16日13時30分現在)

震央分布図 (2016年4月16日00時00分~16日13時30分、M全て、深さ0~20km)



Active faults around the city of Kumamoto city





View of the Aso Volcanic Area



Landslide destroyed the bridge





Ruptures running toward the village



Right lateral offset of 1.2 m



Rupture of earthquake fault running toward the residential area



Houses just on the earthquake faults



Double deck houses just on the earthquake faults



Earthquake faults in Minami Aso area



Earthquake faults in Minami Aso area



Collapsed Houses in the vicinity of the earthquake fault



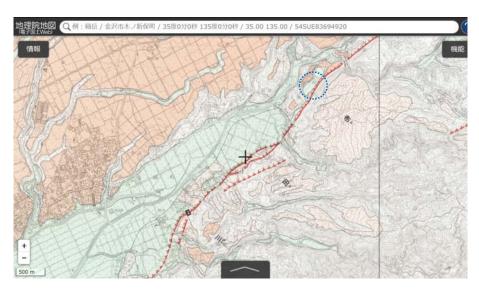
Cars turned over on their sides close to the active fault



Offset of an underground path

Active faults around the city of Kumamoto city





Active Fault Map on the Website





Branching fault in the Mashiki town



Branching fault in the Mashiki town

Current status of Earthquake Disaster Risk Reduction in Mongolia

SERJMYADAG. D, PhD Scientific secretary, Disaster Research Institute, NEMA Lieutenant colonel

International Workshop on Resilience Research 16 May 2016

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- Seismicity in Mongolia
- Current Status of Earthquake Disaster Risk Reduction (DRR) Research
- Current Status of Earthquake Disaster Risk Reduction Measure
- Conclusion

Seismicity in Mongolia





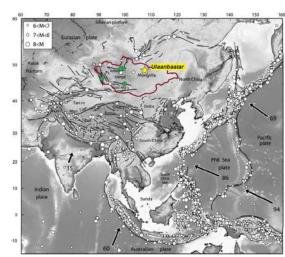
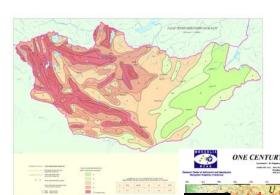


Figure 1. Tectonic setting of Mongolia, and Ulaanbaatar, within the wider India-Eurasia collision zone (from Vergnolle, et al., 2007). Green circles show locations for the 1905, 1931, and 1957 earthquakes.

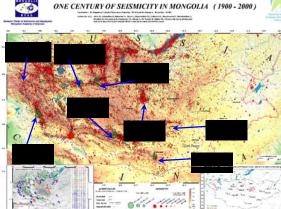
Source: Research Institute of Astronomy and Geophysics



Seismicity in Mongolia

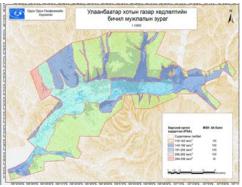
Last century, several strong continental seismic events took place in Mongolia.

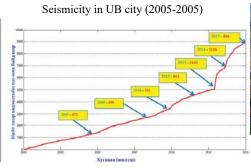
Source: Research Institute of Astronomy and Geophysics



Seismicity in Ulaanbaatar city

UB City is undergoing rapid and uncontrolled urbanization, with the population having approximately doubled in the last 10 years to 1.3 million.







Source: Research Institute of Astronomy and Geophysics

Earthquake Disaster Risk Reduction Research in Mongolia

1957, International Geophysical Year







A great earthquake of Gobi-Altay took place at 1957-12-04, 03:37 UTC with magnitude 8.1.

From left side: A.P. Vinogradov , E. Baljinnyam , D. Munkhuu, N.V. Shebalin



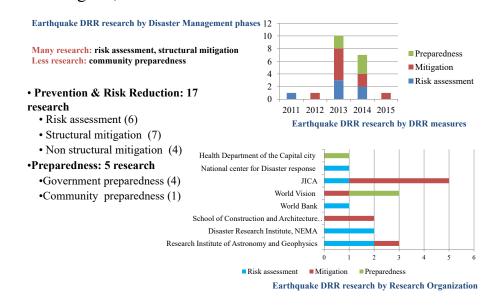
Seismic observation network of Mongolia

Source: Research Institute of Astronomy and Geophysics

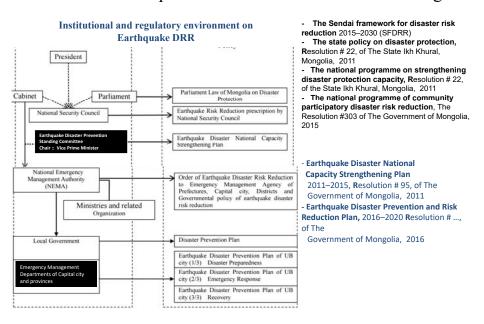
Status of Earthquake DRR Research in Mongolia, 2011-2015

Research organization classification on EDRR Disaster management phase Pre Disaster •Prevention & Risk Reduction · Risk assessment ·Research Institute of Astronomy and Geophysics ·Structural mitigation · Research Institute of Geology and Geo · Resistant construction · Building codes and Regulatory This study · Structural modification · Detection system Disaster Research Institute, NEMA Non structural mitigation •Construction Development Center · Regulatory measure 15 measures · Health Department of the Capital City Community awareness · Behavior change Preparedness •DM planning Exercise · School of Construction and Architecture 8 organizations Mongolian University of Science and Training Equipment ·Department of Geography, National Legislation University Mongolia, •Public education ·School of Emergency management, Law Enforcement University **During Disaster** •Warning ·Search & Rescue Evacuation •Red Cross •Relief ·World Bank • ADB ·World Vision •Reconstruction • IICA Rehabilitation National center of Disaster Response

Trend of Earthquake Disaster Risk Reduction Research in Mongolia, 2011-2015

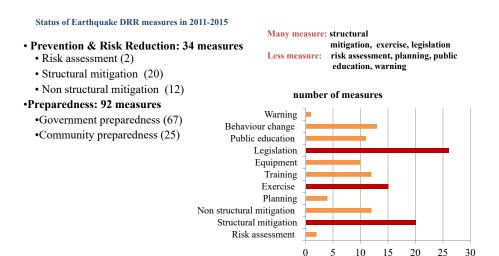


Status of Earthquake Disaster Risk Reduction in Mongolia



Status of Earthquake Disaster Risk Reduction in Mongolia

Analysis on Earthquake Disaster National Capacity Strengthening Plan, 2011-2015



Status of Earthquake Disaster Risk Reduction in Mongolia

Analysis on Earthquake Disaster Prevention and Risk Reduction Plan, 2016–2020

Trends of Earthquake DRR

measures in 2016-2020

Prevention & Risk Reduction: 28 measures

• Risk assessment (3)

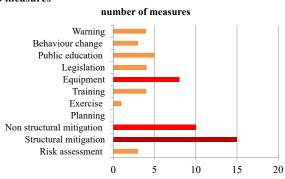
• Structural mitigation (15)

• Non structural mitigation (10)

Preparedness: 29 measures

•Government preparedness (17)

•Community preparedness (12)



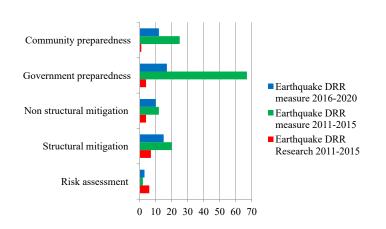
Many measure: structural mitigation,

Less measure: risk assessment, training, exercise

non structural mitigation, equipment

Current status of Earthquake DRR in Mongolia

Most Earthquake DRR activity focused on government preparedness



Conclusion

- High seismic active faults have a both in surrounding UB city and in the western part of Mongolia.
- Earthquake observation study has been developing from 1957 in Mongolia.
- Earthquake disaster risk reduction research is now just started in Mongolia.
- Many earthquake DRR research focused on risk assessment, structural mitigation
- Less earthquake DRR research focused on community preparedness

Thank you.

Conclusion

In 2011-2015:

- Many Earthquake DRR measures related to structural mitigation, exercise and legislation
- Less Earthquake DRR measures related to risk assessment, planning, public education and warning

In 2016-2020:

- Many Earthquake DRR measures will be focus on structural mitigation, non structural mitigation and equipment
- Less Earthquake DRR measures will be focus on risk assessment, training and exercise
- Most Earthquake DRR activity were focused on government preparedness
- Most important thing is to improve community preparedness in Mongolia.



Assessing water quality of Sugnugur,
Gatsuurt and Kharaa rivers using
macroinvertebrate communities



Irmuunzaya Kh.
Master of Environmental Science

Ulaanbaatar, 2016

Background of study

- Studies in the Kharaa and adjoining river basins show that gold mining is a major polluter (Chalov et al., 2012; Thorslund et al., 2012) and that it drastically affects the ecology of diatom, macrozoobenthos and fish communities (Kratz et al., 2010; Saulyegul, 2011).
- WWTP cleans wastewater up to 90%, mostly however, lower than 90%. The capacity of WWTP is actually to clean 50 000 m³ of wastewater, but nowadays only 15 000-17 000 m³ are provided (Batimaa P., Erdenebayar Ya. 2013).

What are macroinvertebrates?











- Organisms that lack a backbone and can be seen with the naked eye such as aquatic insects, mollusks and crustaceans
- The organisms that we will be sampling for are benthic macroinvertebrates – macros that live in the substrate, or bottom, of a water body
- Macros live in various stream habitats and derive their oxygen from the water
- These organisms are impacted by all the stresses that occur in a stream environment, both manmade and naturally occurring

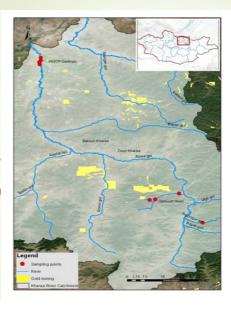
Study purpose

 To identify the impact of mining activities and wastewater treatment plants on river water quality using macroinvertebrate community structure

Objectives

- To measure and analyze some important physicochemical parameters
- To identify the macroinvertebrate community structure
- To study the correlation between physicochemical parameters and macroinvertebrate communities

Sampling sites



- Сөгнөгөр-Батсүмбэр, Төв аймаг
- Гацуурт- Түнхэл тосгон, Сэлэнгэ аймаг
- Хараа гол-Дархан хот, Дархан-Уул аймаг

Study methods



Field study

- 1. Measuring of some physicochemical parameters of water
- 2. Sampling of macroinvertebrates



Laboratory study

- 1. Measuring of nutrients amount of water
- 2. Determination of macroinvertebrates

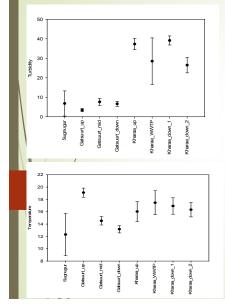


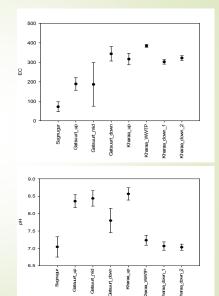
Data processing

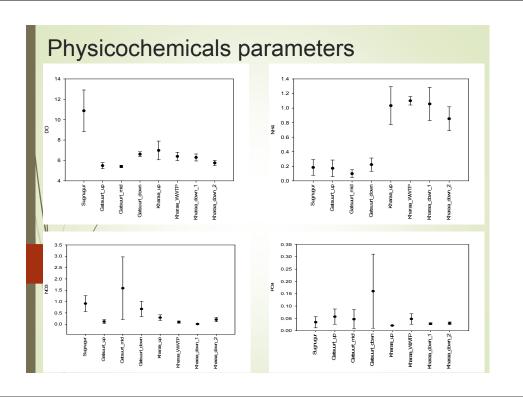
Analysis:

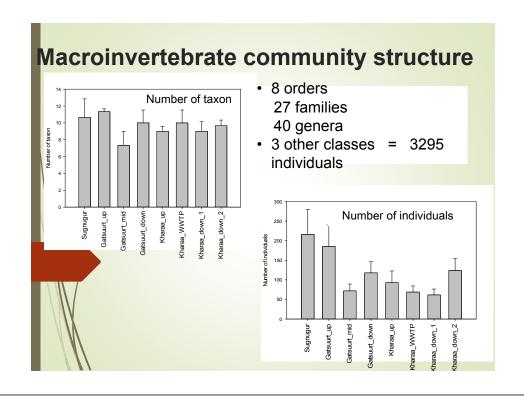
- All variables were tested for normal distribution
- Oneway-ANOVA differences of macroinvertebrate structure and environmental parameters between sites
- Shannon-Wiener Index: $H = -\sum_{i=1}^{S} p_i lnp_i$
- Evenness Index: $E = \frac{H}{LogS}$
- Cluster analysis are a way to assess and identify many variables by their similarity
- Correlations based on the Spearman's correlation coefficient (r_s)
- · A canonical correspondence analysis (CCA)

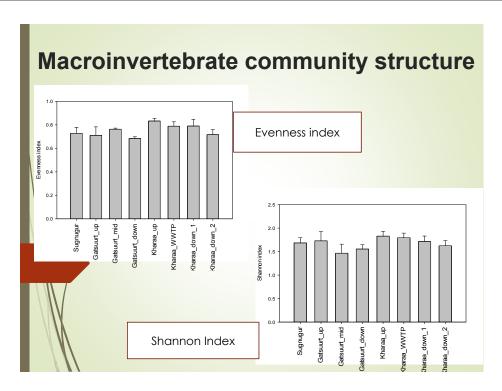
Physicochemicals parameters

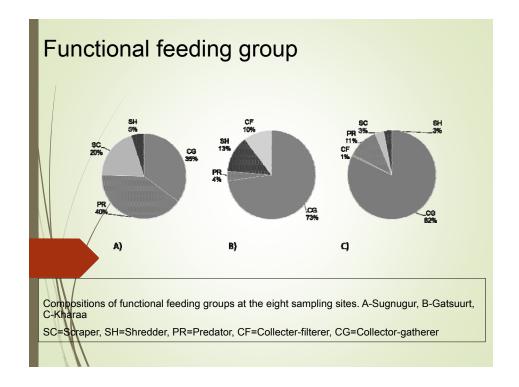


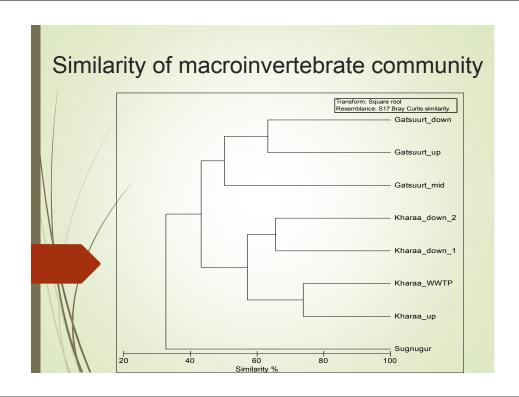












Relationships between environmental parameters & communities

Spearman's Rank Correlation:

- Turbidity (NTU) & Individual number (p=0.04, rs=(-0.405))
- $\sqrt{EC (S/m) \& Individual number (p=0.01, rs=(-0.489))}$
- $NH_4^+(mg/L)$ & Individual number (p=0.01, rs=(-0.507))
- DO (mg/L) & Shannon Index (p=0.03, rs=0.430)

Canonical correspondence analysis: PLE-Agnetina **EPH-Baetis** TRI-Brachycentrus DIP-Chironomini. PLE-Diura **EPH-Epeorus** EPH-Ephemerella, EPH-Heptagenia TRI-Hydropsyche, TRI-Leptocerus, TRI-Limnephilus, EPH-Potamanthus, EPH-Rhitrogenia, EPH-Rhyacophila, EPH-Serratella. **EPH-Siphlonurus** -1.0 CCA axis 1

Discussion& Conclusions

EC, turbidity, water t⁰ and the concentrations of NH₄⁺, PO₄³⁻ of Sugnugur river were low, while DO was high, and the pH showed alkalinity (6.7-7.4). Regarding biodiversity of macroinvertebrates numbers of genera, individuals, index of diversity and evenness were higher than at other points of study. As demonstrated by cluster analysis it was very different from other studied rivers.

Discussion& Conclusions

EC of Gatsuurt River was lower than at sampling points of Kharaa, Turbidity and water to were low here, pH showed more alkalinity and some nutrients had no big difference, but macroinvertebrate community structure is different from the reference river. Shannon index and the numbers of macroinvertebrate genera in Gatsuurt _mid sampling were the lowest of all sample points, while individual number was quite low. All these indicators show that Gatsuurt is highly impacted by human (mining activities) at midstream.

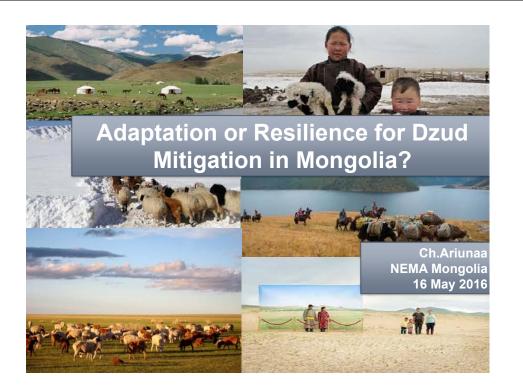
Discussion& Conclusions

• EC, turbidity and water t⁰ were higher at Kharaa than in Sugnugur River, while DO of Kharaa was lower than Sugnugur, almost similar to Gatsuurt River and nutrients were higher than in other rivers. However, number of individuals is less, there was no big difference of genera number and index of diversity as well as evenness. It shows there could be an impact of WWTP Darkhan compared to Kharaa_up point, upstream of WWTP. It can be said from all the indicators that self-purification process distance of Kharaa is short, there is a fact, this process takes about 10 km at Kharaa.

Thanks to:

- My dear teachers Dr. M.Pfeiffer & Dr. D.Narangarvuu for all valuable advices and encouragement
- Friends B.Gunsmaa & B.Bolortuya for help during field work
- Students of School of Sciences and Arts
 O.Narantsatsral & S.Namjilmaa for help during identification of the macroinvertebrates
- Mrs. B.Buyankhand for encouragement during my study
- My family for eternal support, love and inspiration

Thank you for your attention!



Content

- Background dzud, adaptation, and resilience
- Previous studies
- Methodology
- Results
- Limitations
- References

Dzud

Mongolian term for an extremely snowy winter in which large numbers of livestock die due to starvation by being unable to graze, and the cold.

+ Drought + El Nino + Global + Knowledge warming¥climate change + Capacity + Institutions

Why?

Year	Type of disaster
1944-45	Dzud +Drought
1954-55	Dzud
1956-57	Dzud
1967-68	Dzud +Drought
1976-77	Dzud
1986-87	Dzud
1993-94	Dzud
1996-97	Dzud
1999-00	Dzud +Drought
2000-01	Dzud +Drought
2001-02	Dzud +Drought
2009-10	Dzud +Drought
2015-16	Dzud

DZUD	# of perished livestock	Amount of economic damage (\$, million)	Leading agency for mitigation		
1999-2000	3.5 million	65	The State		
2000-2001	4.8 million	87	Emergency Commission/		
2009-2010	9.7 million	292.5	NEMA		



		Level	
	Household	Community	Cross-Level
Physical	 Inadequate livestock shelter 	Snow depth Coldness Drought Limited water availability	 Climate change affecting water availability, drought frequency and possible dzud frequency
Biological	Poor animal condition	 Poor summer/fall forage Limited habitat diversity (lack of sufficient haying areas, natural refuges, de facto grazing reserves) "Hoofed dzud" 	
Socio- economic	Lack of knowledge/experience Poverty level Lack of alternative or supplemental income opportunities	Poverty rate Limited alternative employment opportunities	 Increasing aid dependence may reinforce poverty and stifle initiative
Institutional	Weak bonding social capital (ties to relatives & close friends) Weak bridging and linking social capital (ties to local or regional government, NGOs, donor projects)	Little mutual assistance and informal cooperation No formal collective action or community-based organizations Weak and/or reactive local government Weak coordination between local government, NGOs, donor projects, and herder communities	Weak or non-existent cross-level and cross- boundary pasture management institutions Weak disaster management and coordination

Resilience

- The ability of a system, community or society exposed to hazards to resist, absorb, accommodate to and recover from the effects of a hazard in a timely and efficient manner, including through the preservation and restoration of its essential basic structures and functions.
 - UNISDR Terminology on DRR, 2009
- Resilience is a system's ability to maintain its basic structure, function and identity in the face of shocks and changes—to recover and reorganize following a major perturbation such as dzud. A fundamental characteristic of resilient systems is their capacity to learn, adapt and "live with change."

Adaptation

- Adaptation is the set of actions, attitudes, activities, and decisions that enable individuals, groups, or systems to persist in the face of current or future change or shocks.
 - Fernandez-Gimenez et al., 2015
- The adjustment in natural or human systems in response to actual or expected climatic stimuli or their effects, which moderates harm or exploits beneficial opportunities.
 - UNISDR Terminology on DRR, 2009
- Adaptation to climate change is the process of natural and human systems adapting to changing environmental and climatic conditions. It includes measures taken by natural and anthropogenic systems in order to reduce the potential harm caused by climate change or to maximize the positive impacts of current and expected climate change.

· National Action Program on Climate Change, 2012

Previous studies

- Many researcher and scholars have studied dzud as a natural phenomenon including meteorological characteristics and its impacts on livestock population, the herder families and country's economy, the government role to respond and recovery the dzud, as well as relatively few studies have focused, in depth, on adaptation and resilience in Mongolian pastoral system.
- Many rooms for the study on adaptation to and building resilience for dzud are still available.

Fernandez-Gimenez et al., 2011

Methodology

- Mixed-methods approach employing qualitative and quantitative data collection and analysis techniques
 - interviews, using a combination of closed and open-ended questions and analyzing the responses to draw conclusions,
 - · focus groups, household questionnaires,
 - and document review, etc.

Limitations

look at the climate adaptation and community resilience on dzud in Mongolia

Results

Objectives:

- Existing adaptation and resilience approaches in dzud in Mongolia will be identified and examined in order to determine which approaches work exceptionally in our case study, dzud.
- The new and applicable methods and tools of adaptation and resilience could be identified, introduced and recommended at the end of the study.

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THANK YOU! Q&A





Contents

- Energy Sector in Mongolia
 Background and Objectives of the Study
- Renewable Energy in Mongolia
- Proposed study
- Methodology
- Research Points Methods of data collection

CURRENT STATE OF ENERGY SECTOR IN MONGOLIA

- Western Energy System Eastern Energy system

	Installed energy	Energy Source + Import
Power Plant	8796	77.796
Small scale RE	0.62%	
Diesel fuel	6%	0.6%
Wind park	496	0.8%
Hydro PP	296	0.6%
Import		20.296

Intergrated power system



Government policy related to RE

- The Parliament and the Government of Mongolia approved
- National Action Program on Climate Change 2011-2021
- National Program on Renewable Energy 2005-2020
- Green Development Policy 2014
- Program on Integrated Energy System of Mongolia Energy Policy in Mongolia 2015
- RE Law 2015

General information

- . In 2015 328 soum's connected to the grid (from 334)
- 13.7% energy loss from the grid
- 14.4% PPs using their own operation
- GER Area: Informal settlement where no basic urban services
- Household burn row coal for heating ar
 Contributes 60% to UB air pollution

· Central energy system

- Altai-Uliastai energy system
- Dalanzadgad energy system
- Total 965 MW (without OT 150MW)



Background and significance

- Difficulties to supply the rural population with electricity
- Strongly dependent on indigenous coal and imported oil
 Centralized electricity supply for soum center is not economically viable
- Depending on the region solar, wind and hydro power can be used for power
- Rural centers and mines are using diesel engine
- 7 coal fired power plants
- Ger dwarfs and nomads using coal for the heating
- Huge RE sources

Ger areas in Ulaanbaatar

In Summer 83% of households use electricity for cooking

In winter 92% of households use stoves for heating and cooking



Hydro power potential



2/10/2017

2

2/10/2017

1

3









• 20% RE in 2020 • 30% RE in 2030







Solar energy potential



Geothermal energy potential



RE feed-in tariff 2007-2017

		Hydro		Wind	Solar
	sp.te 0.5 MW	from 9.5 to 2 MW	from 2 to 5 MW		
Grid-connected	0.045 - 0.06	0.045 - 0.06	0.045 - 0.06	0.08 - 0.095	0.15-0.1
Stand alone	0.00 - 0.10	0.05 - 0.06	0.045 - 0.05	0.10 - 0.15	0.2 - 0.3

Energy Sector in Mongolia – Stake holders

· Ministry of Energy

International Organizations JICA

Ministry of Environment, Green Development and Tourism

• ICM ADB

Development and Tourism

NREC- National Renewable Energy Sector

ERC-Energy Regulatory Commission

NPTG- National power Transmission grid

NDC- National Dispatching Center

World Bank

Thermal power plant's
 Electricity distribution grid

• EBRD

6

2/10/2017

New regulations for RE

- 2016.04.07 Explorative and industrial equipment, and its addition tools, spare parts of rechargeable power that exempt from customs duty and value added
- 2015.12.18 Equipment, tools and accessories for renewable energy generation to be exempt from value added tax and customs duties
- 2015 users, who is using electricity more than 100 kw/month have to pay 4MNT/kw for RE fund

Renewable energy license holders

Wind Energy

- Aydiner Global 50 MW
- Desert Solar Power One 30 MW AB Solar Wind 100 MW
- Sainshand Wind Park 52 MW
- Mon Korea Engineering 8 MW Solar power International 10 MW
- Clean Energy Asia 50 MW
- Clean Energy 250Mw
- Rural Electrification 10 MW TOTAL:610 MW

Solar Energy

TBF Energy Zuun 50 MW

Present Studies

- ADB Quantum leap
- IRENA (International Renewable Energy Agency)
- Renewable Readiness Assessment Global Wind & Solar Atlas Initiative
- CIF (Climate Investment Fund)
- Scaling up Renewable Energy Program (SREP)



RE sources Solar wind diesel hybrid systems aren't working. Reasons: Connected to the grid 2. No capable of HR 2.1. No proper operation and maintenance .2. Not enough research Wrong technology

Wind park Projects



Renewable energy policy



Project list in Mongolia

- Renewable Energy and Rural Electricity Access Project (REAP) 2006
- Herders electricity Access
- Soum Center Electricity Service
 Institutional Capacity Building
- Small-Scale Energy Development in Northeast Asia: Experience, Prospects and Social Implications of Solar PV in Mongolia (ERINA)
- Rural Electrification & Renewable Energy Utilization in Mongolia 2005

Research

- · Increase consumption of RE project
- JICA Rural power supply (Worldwide)
- Lack of research on:



Proposed research study- open

2/10/2017

5

Research Points

- PRELIMINARY SURVEY

- DE IAILED SUMPEY
 Stand-alone system in remote locations (mining area, soums)
 Possibility of stand-alone system or energy saving of office buildings in the city
 Hybrid wind or solar systems with dissel engine
 Besearch of Energy system development and grid connected home energy system from
 stand-alone systems.

Analysis of Data collection of energy demand

- Analysis of the load and energy production

- * Antarysis Of Life Load or an arrange production*

 Load of factor

 Load of factor

 Load of factor

 Load of mining company

 Mine campuses in the rural areas

 Mining energy forward in rural areas

 Energy consumptions calculation of diesel engine in rural areas

 Energy consumptions calculation of diesel engine in rural areas
- Analysis of previous made documents and related international studies and

Goal -UB

Goal

Research off grid energy system

Diesel to hybrid systems (Environmental friendly)
 Houses - Solar panels (independent electricity)

- Research off grid energy system





Methodology (for analyzing off-grid electricity supply)

- Worksheet-based tools (Rural Renewable Energy Analysis and Design Tool)
- Optimization tools to identify and design the least-cost option
- Hybrid approaches

- Multi-criteria decision-making (MCDM) tools (environment)
- System-based participatory tools

West energy system

Research off grid energy system

Increase 30 MW with RE

Solar and Wind

Advisors

- Energy: - Ph.D ULAM-ORGIL Chojiljav
- Ph.D BUYANKHISHIG Nemer
- Technology and management
- Sc.D TSETSGEE Bayasgalan
- Ph.D GANCHIMEG Jamsran





Formulation of Land Evaluation System for Urban Renewal Projects

(research proposal for ASCI program)

Presenter: Purevdorj ENKHMANDAKH

Senior officer in charge of Land Affairs
Department of Urban Development and Land Affairs Policy

May, 16, 2016. Ulaanbaatar.

Brief introduction of biography

Education

- Bachelor of Science (Land management), National University of Mongolia, Ulaanbaatar, Mongolia, 1999 - 2003.
- Master of Science (Urban land use planning), National University of Mongolia, Ulaanbaatar, Mongolia, 2004 - 2006.
- Master of Engineering (Urban redevelopment, Land readjustment and International development engineering), Tokyo Institute of Technology, Tokyo, Japan, 2009 2011.

Experience

- Land manager in charge of urban land use planning, *Urban Planning, Research and Design Institute of Ulaanbaatar Municipality*, 2004-2007.
- Officer in charge of regional and urban planning, Ministry of Road, Transport, Construction and Urban Development, 2007-2012.
- Senior officer in charge of land affairs, Ministry of Construction and Urban Development, 2012-recent.

CONTENT

- Brief introduction of biography
- Previous research
 - Background
 - Research objectives
 - Methodology
 - Case study area
 - Analysis of questionnaire survey
 - Land appraisal and replotting design
 - Conclusion
- Future research idea

2

Brief introduction of biography

International training

- "Seminar on Inter-City Cooperation Platform for Sustainable Cities: Urban Profile and Challenges", JICA, Tokyo and Toyama, Japan, (Oct 21 – 30, 2015)
- "New town development, construction and labour management", KOICA, Republic of Korea, (May 31 – June 20, 2015)
- "Intelligent city Innovative solutions for megacities tomorrow (Smart city Berlin)", Federal Ministry of Foreign Affairs, Federal Republic of Germany, (May 18-23, 2015)
- "Affordable housing policy", Housing Corporation, Anchorage city, Alaska State, Chicago city, Illinois State, United States of America, (March-April, 2014)
- "Urban planning", International Urban Training Center, Gangwong province, Republic of Korea, (November 21-30, 2012)
- "Strengthening and use of country safeguard systems regional workshop", Asian Development Bank, Philippines, (April 17-20, 2012)
- "Comprehensive city planning", Tokyo International Center, JICA, Japan, (August 25 October 30, 2007)
- "Seminar on economic development and planning analysis of cities and towns for developing nations", Ministry of Commerce, People's Republic of China, (March 31 - April 21, 2007)

.

Previous research

Land Readjustment and Appraisal in Ger Area of Ulaanbaatar City

ウランバートル市ゲル地区における土地区画整理手法の適用と評価 プレブドルジェンフマンダフ

TOKYO INSTITUTE OF TECHNOLOGY Department of International Development Engineering

Supervisor: Prof. Shinya HANAOKA

Student: Purevdorj ENKHMANDAKH

2011. Tokyo.

BACKGROUND

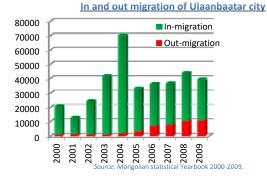
Dramatic increase of migration (from rural to urban)

- Number of migrants: 20-30 thousand per year
- From 2.7 mill, 40 % of total population lives in the capital city

Rapid urban sprawl

(expansion of unplanned Ger area)

- Unpaved, insufficient road network with narrow and dead-end streets
- Disorderly and irregular shaped land lot allocations
- Lack of urban facilities and basic infrastructures (municipal utilities)





Source: The Study on City Master Plan of Ulaanbaata

RESEARCH GOAL

Formulate land value assessment methodology for Land readjustment projects to promote increase land use efficiency in Ger area by through "Unur-2" case study.

OBJECTIVES

- To identify Ger area residents` perceptions of needs for basic infrastructure and their willingness for improvement of living environment
- To assess land value of before and after the planning
- To design replotting plan based on land value assessment

METHODOLOGY

Process

Data from the proposed "Unur-2" case study area

Willingness of improvement of the Ger area residents by questionnaire survey

Urban layout planning according to "Mongolian Norms and Ordinance of Building and City planning (BNbD 30.01.04)"

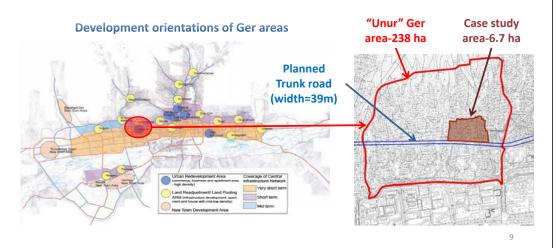
Land value assessment before and after the planning using "Road side value method"

Replotting design based on land appraisal of each land lot

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CASE STUDY AREA

- >"Unur" Ger Area (Area =238ha) was designated as Urban Renewal Promotion Area.
- "East- west" planned trunk road will be developed as a road project by Municipality.
- Case study area (Area =6.7ha) selected in along side of the planned trunk road.



BASIC IDEA OF LAND APPRAISAL

1. Road side value method (ROSENKA)

Road side value = Street coefficient + Access coefficient + Land coefficient + Utility coefficient = $[(w-3)/w+\sum X] + [m(S-s)/(S-R)] + (Shape + LUT + legal) + (Elect+ Heat+ Water+ Sew)$

Where: $F(W) = (w-3)/w+\sum X$

A = m(S-s)/(S-R)

L = Shape + LUT + legal

U = Elect+ Heat+ Water+ Sew

is a street coefficient,

is an access coefficient,

is a land coefficient,

is an utility coefficient.

2. The benefit value of the relevant facilities

The magnitude of the value of benefit arising from the relevant facilities and the highest values of land valuation indicators are defined from the questionnaire survey of case study area.

3. Land market price survey of GTZ in Ulaanbaatar The decreased value of these indicators is defined for each indicator that significantly influencing land market price and its calculation is based on comparison between with or without their services of $1\,\mathrm{m}^2$ average land price.

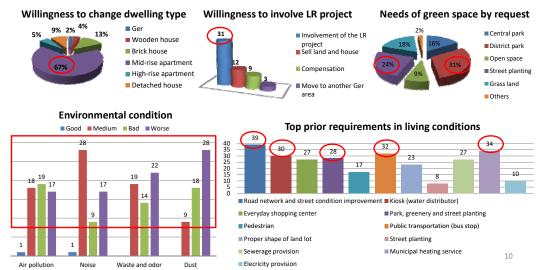
The magnitude of benefit value of the relevant facilities

Indicators	Response of questionnaire	Share	Coefficient
Road network with pavement	39	12.4%	1.00
Heating	34	10.8%	0.87
Bus stop	32	10.2%	0.82
Water supply	30	9.6%	0.77
Park	28	8.9%	0.72
Shop	27	8.6%	0.69
Sewage	27	8.6%	0.69
Shape	23	7.3%	0.59
Pedestrian	17	5.4%	0.44
Electricity	10	3.2%	0.26
Street planting	8	2.5%	0.121

ANALYSIS OF QUESTIONNAIRE SURVEY

Main purpose of the questionnaire survey

- > Identify Ger area residents' perceptions of needs for basic infrastructure
- > Willingness of improvements for living environment



INDICATORS AND COEFFICIENT OF LAND APPRAISAL

No.	Item	Condition	Coefficient			
NO.	Street coefficient					
		20m over	0.9-1.0			
1	Dood width	10-15m	0.7-0.8			
1	Road Width	6-9m	0.5-0.6			
		Under 6m	0.3-0.4			
2	Davament	Paved	1.00			
2	Road width Pavement Pedestrian Street planting	No pavement	0.50			
3	Dodostrian	Available	0.44			
3	reuestriali	Unavailable	0.22			
4	Stroot planting	Available	0.21			
4	Street planting	Unavailable	0.10			
Acce	ss coefficient					
		Under 50m	0.80			
5	Kinck (water distributor)	50-150m	0.50			
5	KIOSK (Water distributor)	150-200m	0.10			
		200m over	0.00			
		Under 100m	0.70			
c	Daily gracery shap	100-250m	0.60			
О	Daily grocery shop	250-500m	0.20			
	6 Daily grocery shop	500m over	0.00			
		Under 100m	0.70			
7	Park and groonery	100-250m	0.60			
′	raik and greenery	250-500m	0.20			
		500m over	0.00			
		Under 100m	0.80			
8	Pus ston	100-250m	0.70			
٥	Bus stop	250-500m	0.30			
		500m over	0.00			

No.	Item	Condition	Coefficient
Land	coefficient		
		Regular	0.59
9	Shape	Irregular	0.29
10 La	l and make to the	Commerce	1.00
	Land use type	Residence	0.57
		Ownership	1.00
	Legal	Possession	0.63
11		Usage	0.35
		No rights	0.00
Utility	y coefficient	•	•
40	et	Available	0.26
12	Electricity	Unavailable	0.13
		Available	0.87
13	Heating	Unavailable	0.58
		Available	0.77
14	Water supply	Unavailable	0.54
15	C	Available	0.69
15	Sewerage	Unavailable	0.48

PROCESS OF LAND APPRAISAL AND REPLOTTING

Step 1: Confirm basic condition

Step 2: Block valuation (before and after)

Step 3: Calculate value increase

Step 4: Lot valuation before the planning

Step 5: Lot valuation after the planning, and

calculation of land area to be distributed

Step 6: Designing the re-plotting plan

STEP 1. CONFIRM BASIC CONDITION

Land balance of the Existing land use and the Planning

- ➤ Road area is increased as 1.8 times (4993 m²)
- > Pedestrian, park-greenery and reserved land are newly created

		Bet	fore	Aft	er	
Lan	d use type	Area (m2)	Share (%)	Area (m2)	Share (%)	Notes
	Road 6080		9.0%	11073	16.5%	Road will be paved after the project.
	Pedestrian	0	0.0%	4942	7.4%	Paved sidewalks
Public land	Park and greenery	0	0.0%	3044	4.5%	District level park
area	Eroded land (municipal area)	14635	21.8%	0	0.0%	Eroded land is existing street including the earth road, sidewalk and ravine.
	Total	20715	30.8%	19059	28.4%	
Private land area		46498	69.2%	40916	62.3%	Ownership
Reserved land	d area	0	0.0%	7238	9.4%	Public facility and/or financial purpose
	Total	67213	100.0%	67213	100.0%	

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STEP 2. BLOCK EVALUATION

Block Value (Before)

C1: (Total coefficient) x (Block Area) = 6.34 x 9694m²

= 61459.96

R1: 26494.10 R2: 18948.04

R3: 20691.12 R4: 39203.92

C2: 13905.04

R5: 31168.20

R6: 31211.76 R7: 32143.08

17. 32143.00

Total Value = <u>275225.22</u>

Block Value (After)

C1: (Total coefficient) x (Block Area)

= 9.93 x 8724.6m²

34563.15

= 86635.28

R1:

R2: 24718.86 R3: 28060.56 R4: 53771.13 C2: 21493.29

R5: 44690.40 R6: 44327.34 R7: 46474.02

Total Value = **385004.03**

STEP 3. VALUE INCREASE

Value Increase = 385004.03 / 275225.22 = **1.399**

STEP 4. LOT EVALUATION BEFORE THE PLANNING

Block ID		C2										Total
Lot ID (Parcel num	ber)	61		62	62		63		64		65	
Lot area (m2)	ot area (m2)		330			622	622			508		2381
	Road width (m)	4	0.30	4	0.30	4	0.30	4	0.30	4	0.30	1.5
Street Coefficient	Pavement	none	0.50	none	0.50	none	0.50	none	0.50	none	0.50	2.5
Street Coemcient	Pedestrian	none	0.22	none	0.22	none	0.22	none	0.22	none	0.22	1.1
	Street planting	none	0.10	none	0.10	none	0.10	none	0.10	none	0.10	0.5
	Kiosk-water distributor (m)	150	0.70	180	0.70	180	0.70	180	0.70	160	0.70	3.5
	Daily shop (m)	310	0.30	310	0.30	330	0.30	350	0.30	370	0.20	1.4
Access coefficient	Park and greenery (m)	none	0.00	none	0.00	none	0.00	none	0.00	none	0.00	0
	Bus stop (m)	500m over	0.00	500m over	0.00	0						
	Shape	none	0.29	none	0.29	none	0.29	none	0.29	none	0.29	1.45
Land coefficient	Land use type	residence	0.57	residence	0.57	residence	0.57	residence	0.57	residence	0.57	2.85
	Legal	owned	1.00	owned	1.00	possess	0.63	owned	1.00	owned	1.00	4.63
	Electricity	connected	0.26	connected	0.26	connected	0.26	connected	0.26	connected	0.26	1.3
	Heating	none	0.58	none	0.58	none	0.58	none	0.58	none	0.58	2.9
Utility coefficient	Water supply	none	0.54	none	0.54	none	0.54	none	0.54	none	0.54	2.7
	Sewerage	none	0.48	none	0.48	none	0.48	none	0.48	none	0.48	2.4
Total	lot coefficient		5.84		5.84		5.47		5.84		5.74	28.73
1	Lot value	1927.	.20	2195.8	34	3402.	34	3182.80		2915.92		13624.10

STEP 5. LOT EVALUATION AFTER THE PLANNING AND LAND DISTRIBUTION

Block ID	C2									Total		
Lot ID (Parcel numbe	er)	61		62		63		64		65		iotai
Land value of per lot (Lot value after project)		2979		3394		5259		4920		4507		21059
	Road width (m)	38	1.00	38	1.00	38	1.00	38	1.00	38	1.00	5
Street Coefficient	Pavement	available	1.00	5								
Street Coemcient	Pedestrian	available	0.44	2.2								
	Street planting	available	0.21	1.05								
	Kiosk-water distributor (m)	none	0.00	0								
Access coefficient	Daily shop (m)	10	0.70	10	0.70	10	0.70	10	0.70	10	0.70	3.5
	Park and greenery (m)	20	0.70	20	0.70	40	0.70	60	0.70	80	0.70	3.5
	Bus stop (m)	10	0.80	10	0.80	10	0.80	10	0.80	10	0.80	4
	Shape	positive	0.59	2.95								
Land coefficient	Land use type	commerce	1.00	5								
	Legal	owned	1.00	5								
	Electricity	available	0.26	1.3								
Utility coefficient	Heating	available	0.87	4.35								
Othicy Coefficient	Water supply	available	0.77	3.85								
	Sewerage	available	0.69	3.45								
Total lot coefficient			10.03		10.03		10.03		10.03		10.03	50.15
Land area to be distr	ibuted (m2)	297.0		338.4	1	524.3		490.5	5	449.	4	2099.6
Contribution area (m	n2)	33.0		37.6	i	97.7		54.5		58.6	;	281.4
Contribution ratio (%	6)	10.0%		10.09	6	15.7%	5	10.09	6	11.59	6	11.8%
Lot value	befor the project	1927.20		2195.84		3402.34		3182.80		2915.92		13624.10
Lot area bef	ore the project (m2)	330		376		622		545		508		2381

STEP 6. DESIGNING THE REPLOTTING PLAN (KUKAKU-SEIRI)

- All replots should be connected to community access roads.
- > All replots should be reshaped to rectangular as possible.
- Location of lot after readjustment shall be allotted with consideration to neighboring relations before readjustment and land usage.
- Location of reserve lands shall be decided according to their purpose on condition that they do not hinder replotting.





CONCLUSION

- ➤ The land readjustment approach in Ger area might solve problems of the shortcoming of basic infrastructure provision by involving the separated landowners in development, since they acquire the serviced urban land lots and increased value of land despite the area size reduction.
- ➤ The serviced and regularly shaped urban land lot promotes to the rational land use and its marketability.
- The local authorities might gain the profit from which they do not need to purchase overall land lots within the implementation zone.
- Municipality could be able to implement their responsibility of basic infrastructure provision by through land readjustment project in principal of better urban spaces for residents.

Future research idea

Background (Problem statement)

- Contradiction between land market price which is evaluated by land owners and land acquisition price from local authorities.
- ➤ No exact valuation system for private lands.
- Land market value is evidently *unstable* in which *lack of land value assessment methodology* for coordination among landowners.
- > long time for *negotiation* and *consensus building*.
- <u>Literature review (practice and experience of developed countries)</u>
- > Study of Methodologies and legislature frameworks
 - Land suitability analysis
 - Land qualitative evaluation
 - > Land economic evaluation
 - Land evaluation system based on zoning
- Proposal of Land Evaluation System for Urban Renewal

